

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: <b>Chagoly et al.</b>	§
Serial No.: <b>Not Assigned</b>	§ Group Art Unit: <b>Not Assigned</b>
Filed: _____	§ Examiner: <b>Not Assigned</b>
For: <b>Process and Implementation for</b>	§ Attorney Docket No.: <b>AUS920040049US1</b>
<b>Dynamically Determining Probe</b>	§
<b>Enablement Using Out of Process</b>	§
<b>Correlating Token</b>	§

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

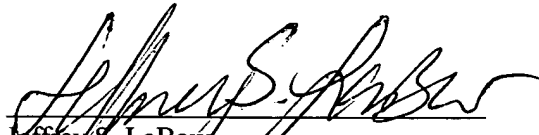
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 3/23/04

Respectfully submitted,

  
Jeffrey S. LaBaw  
Registration No. 31,633  
IBM Corporation  
Intellectual Property Law  
11400 Burnet Road  
Austin, Texas 78757  
(512) 823-0494

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>AUS920040049US1</b>		SERIAL NO. <b>Not Assigned</b>	
			APPLICANT <b>Chagoly et al.</b>			
			FILING DATE		GROUP ART UNIT <b>Not Assigned</b>	

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE	
	AA 5,706,429	Jan. 6, 1998	Lai et al.	395/200.01	Mar. 21, 1994	
	AB 5,528,759	Jun. 18, 1996	Moore	395/200.11	Oct. 31, 1990	
	AC 6,108,619	Aug. 22, 2000	Carter et al.	704/9	Jul. 2, 1998	
	AD 2003/0217094	Nov. 20, 2003	Andrews et al.	709/201	May 17, 2002	
	AE 2002/0143933	Oct. 3, 2002	Hind et al.	709/224	Apr. 3, 2001	

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO	

OTHER PRIOR ART <i>(including author, title, date, pertinent page, etc.)</i>	
	AF Berndt et al., "Dynamica Profiling and Trace Cache Generation", International Symposium on Code Generation and Optimization, 2003. CGO 2003. 23-26 March 2003, pp. 276-285.

RELATED PATENT APPLICATIONS				
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED	EXAMINER
-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.